

Need Help Determining Organization Funding Levels for R15 Eligibility?

To be eligible to apply for an NIH R15 Research Enhancement Award, the total NIH funding received by the components specified in the funding opportunity announcement of the applicant institution cannot be more than \$6 million in 4 or more of the last 7 fiscal years. Note that a federal fiscal year is from October 1 through September 30 and all activity codes are included in this calculation except the following: C06, S10, and all activity codes starting with a G.

For Academic Research Enhancement Awards (AREA), the \$6 million funding limit includes the collective funding for all the non-health professional components of the institution.

For the Research Enhancement Award Program (REAP), the \$6 million funding limit includes the collective funding for all the components of the institution.

If you need help determining your organization's level of NIH funding by component, NIH has public tools that provide information on funding. [RePORT](#) provides access to information on NIH awards by awardee organization through its [RePORTER](#) and [NIH Awards by Location](#) subsites. Using RePORTER and the pivot table capabilities in Excel is more efficient, as it allows for searching across multiple years, but it requires a user to manipulate the data using pivot tables. Award by Location is an easy to use and intuitive tool, but it requires the user to search one year at a time.

[Determining Organizational Funding Levels Using Data from RePORTER and Excel Pivot Tables](#)

[Determining Organizational Funding Levels by Manually Compiling Data from RePORT's Award by Location Tool](#)

Steps for Determining Organizational Funding Using Data from RePORTER and Excel Pivot Tables

You can use [RePORTER](#) to manually collect the financial totals for each component in each fiscal year, but you can also use the procedure described below to extract this information in a single operation. Note: This procedure will be easier if you have some experience with Excel pivot tables.

First, export organization data from NIH Reporter using the directions below:

On the [Advanced Projects Search screen](#):

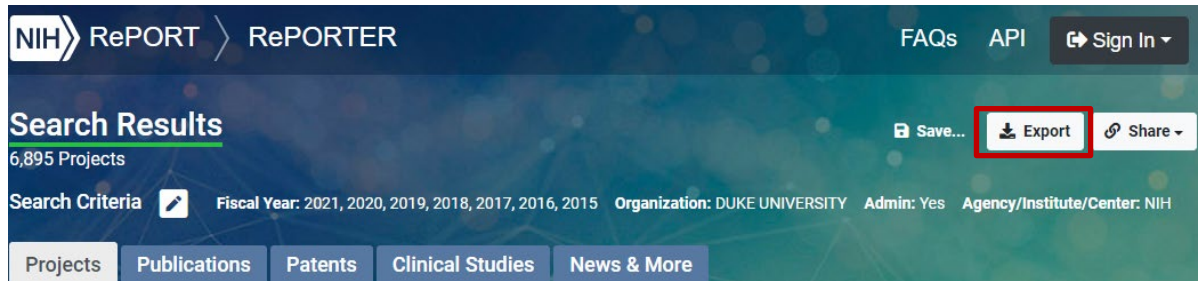
1. In the *Fiscal year (FY)* field, select the last 7 fiscal years.
2. In the *Organization* section, search for your organization and select the correct organization from the list.
3. In the *Agency/Institute/Center* field, select **National Institutes of Health**
4. Click **Search**.

The screenshot shows the 'Advanced Projects Search' form. Several fields are highlighted with red boxes:

- Researcher and Organization** section:
 - Fiscal Year**: A dropdown menu showing 'Active Projects' and 'Current FY is 2021'.
 - Organization**: A search field with the placeholder 'Enter at least 3 characters to search'.
- Project Details** section:
 - Agency/Institute/Center**: A dropdown menu.
- Additional Filters** section:
 - Search**: A green button at the bottom right of the form.

Other visible fields include: Principal Investigator (PI), City, State, Country, Congressional District, Department Type, Organization Type, Text Search (Logic: AND/OR/Advanced), Limit Project search to (Project Title, Project Terms, Project Abstracts), NIH Spending Category, Funding Mechanism, Award Type, Project Number/Application ID, Activity Code, Study Section, Program Officer (PO), Project Start Date, Project End Date, Award Notice Date, FOA, NIH COVID-19 Response, Award Size, Exclude Subprojects, Multi-PI Only, and Newly Added Projects Only.

5. When the search results appear, click **Export**.

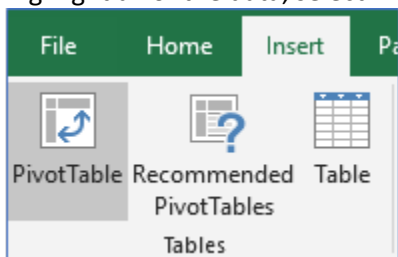


6. A pop-up screen opens. Choose the Excel option and uncheck the “Export Search Criteria” box. Click Export.

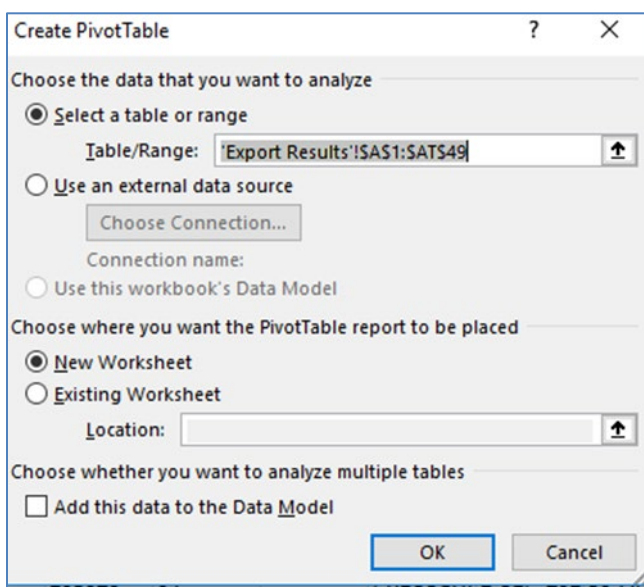


Next, open the export file and generate pivot tables to summarize the data:

1. Highlight all of the data, select **“Insert”** in the top menu and click the **Pivot Table** icon:



2. Ensure that the correct data range is selected, choose **“New Worksheet”** for the PivotTable destination, then select OK.



Working with the pivot tables:

On the far right-hand side of the screen, you will see the PivotTable menu

1. In the top section of the menu:
 - a. Hover over the **“FY”** field in the list and drag this into the **“Rows”** area below
 - b. Hover over the **“Organization Type”** field and drag this into the **“Columns”** area below
 - c. Hover over the **“Total Cost IC”** column and drag this into the **“Values”** area below

PivotTable Fields

Choose fields to add to report:

Search

- ☐ Budget End Date
- ☐ CFDA Code
- ☐ Funding Mechanism
- ☒ **Fiscal Year**
- ☐ Total Cost
- ☐ Total Cost (Sub Projects)
- ☐ Funding IC(s)
- ☐ Direct Cost IC
- ☐ InDirect Cost IC
- ☐ NIH COVID-19 Response
- ☒ **Total Cost IC**

Drag fields between areas below:

Filters 	Columns Organization Type
Rows Fiscal Year	Values Sum of Total Cost IC

2. In the **"Values"** area, select the **drop-down arrow** next to **"Total Cost IC"** and select **"Value Field Settings"**.
 - a. In the **"Value Field Settings"** window, ensure that **"Sum"** is selected. Then click **OK**.

Value Field Settings

Source Name: Total Cost IC

Custom Name: Sum of Total Cost IC

Summarize Values By: Show Values As

Summarize value field by

Choose the type of calculation that you want to use to summarize data from the selected field

- Sum**
- Count
- Average
- Max
- Min
- Product

Number Format OK Cancel

- If you want to narrow the data by “**Organization Type**”, click on **drop-down arrow** next to the field and deselect from the list all schools you want to exclude (Health Professional Schools for example).

The screenshot shows a report configuration window. On the left, under 'Column Labels', there are options to sort (A to Z, Z to A) and a search bar. Below the search bar, a list of checkboxes is shown: (Select All), SCHOOLS OF ARTS AND SCIENCES, SCHOOLS OF MEDICINE, and SCHOOLS OF PHARMACY. On the right, under 'Choose fields to add to report:', there is a search bar and a list of checkboxes: Organization Type (checked), Organization Zip, Organization Country, ARRA Indicator, Budget Start Date, Budget End Date, CFDA Code, Funding Mechanism, Fiscal Year (checked), Total Cost, and Total Cost (Sub Projects). Below this, there are sections for 'Filters', 'Columns', 'Rows', and 'Values'. The 'Columns' section shows 'Organization Type' selected. The 'Rows' section shows 'Fiscal Year' selected. The 'Values' section shows 'Sum of Total Cost IC' selected.

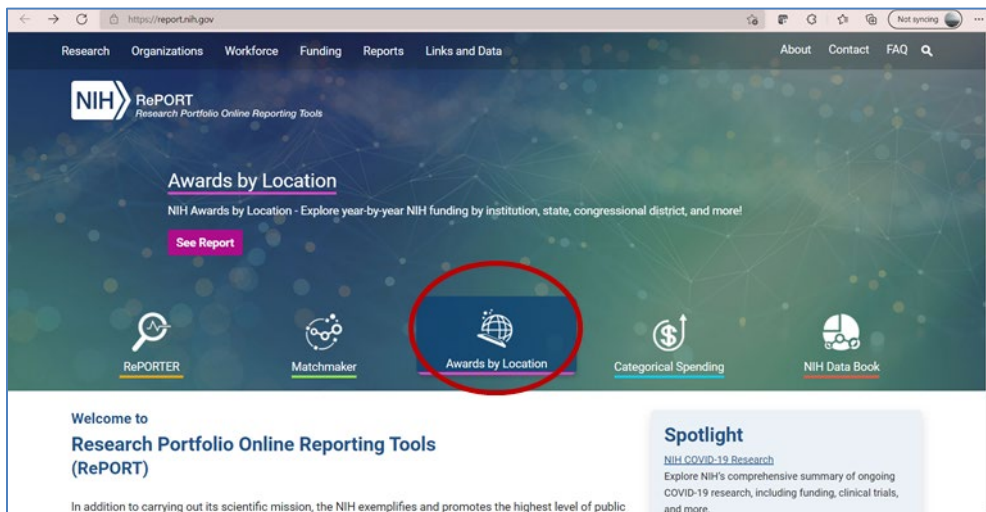
- The resulting data should look something like this:

2					
3	Sum of FY Total Cost by IC	Column Labels			
4	Row Labels	SCHOOLS OF ARTS AND SCIENCES	SCHOOLS OF ENGINEERING	Grand Total	
5	2013	\$ 2,318,930.00	\$ 290,127.00	\$ 2,609,057.00	
6	2014	\$ 1,714,779.00	\$ 396,064.00	\$ 2,110,843.00	
7	2015	\$ 1,946,094.00	\$ 768,661.00	\$ 2,714,755.00	
8	2016	\$ 1,591,252.00		\$ 1,591,252.00	
9	2017	\$ 1,776,543.00		\$ 1,776,543.00	
10	2018	\$ 1,985,900.00		\$ 1,985,900.00	
11	Grand Total	\$ 11,333,498.00	\$ 1,454,852.00	\$ 12,788,350.00	
12					
13					

Note: If you are close to the R15 funding limit for eligibility and need to determine levels of funding to the activity codes that get excluded from the calculation (C06, S10, and all activity codes starting with a G) hover over the "Activity" field and then drag to the "Columns" area. Use the drop-down arrow next to the field label to select only the activity codes you are interested in.

Determining Organizational Funding Levels by Manually Compiling Data from RePORT's Award by Location Tool

1. Go to the [RePORT](https://report.nih.gov) home page.
2. Click on **Awards by Location**



3. Select the **Fiscal Year** of interest (the tool searches one year at a time, so you would need to re-run the query for each of the last 7 fiscal years):

A screenshot of the 'NIH Awards by Location & Organization' search form. The form includes a 'VIEW TUTORIAL' button and a 'CURRENT' status indicator. The main heading is 'NIH Awards by Location & Organization' with a sub-header 'Explore year-by-year NIH funding by institution, state, congressional district, and more!'. A note indicates that data as of 10/15/2018 is available, with frozen FY 2018 data planned for release by 31 December 2018. The form contains several input fields: 'Fiscal Year' (set to 2016 and circled in red), 'Institute/Center' (set to All), 'Funding Mechanism' (set to All), 'FOA' (empty), 'Location' (set to All), 'Congressional District' (set to All), 'Organization Type' (set to All), and 'Organization' (set to All). There are 'SELECT' buttons for each of these fields. At the bottom, there are 'SUBMIT QUERY' and 'RESET QUERY' buttons.

4. Start Typing the organization name in **Organization** and click **Select**.

A screenshot of the 'NIH Awards by Location & Organization' search form, similar to the previous one but with the 'Fiscal Year' changed to 2018. The 'Organization' dropdown menu is now populated with 'University of m' and is circled in red. The 'SELECT' button next to it is also circled in red. The 'SUBMIT QUERY' and 'RESET QUERY' buttons are still present at the bottom.

5. Select the correct organization from the pop-up box and click **Submit Query**.

https://report.nih.gov/award/combined_orgsearch.cfm?orgname=Universit...

Research Portfolio Online Reporting Tools (RePORT)

There were 35 records that contain "University of m".

- CHARLES E. DREW UNIVERSITY OF MED & SCI - LOS ANGELES, CA
- UNIVERSITY OF MAINE ORONO - ORONO, ME
- UNIVERSITY OF MAINE SYSTEM - ME
- UNIVERSITY OF MALANT - BLANTYRE
- UNIVERSITY OF MANCHESTER - MANCHESTER
- UNIVERSITY OF MANNHEIM -
- UNIVERSITY OF MARYLAND BALTO CAMPUS - BALTIMORE, MD
- UNIVERSITY OF MARYLAND BALTIMORE - BALTIMORE, MD
- UNIVERSITY OF MARYLAND COLLEGE PK CAMPUS - COLLEGE PARK, MD
- UNIVERSITY OF MARYLAND EASTERN SHORE - PRINCESS ANNE, MD
- UNIVERSITY OF MARYLAND SYSTEM - MD
- UNIVERSITY OF MASSACHUSETTS AMHERST - AMHERST, MA
- UNIVERSITY OF MASSACHUSETTS BOSTON - BOSTON, MA
- UNIVERSITY OF MASSACHUSETTS DARTMOUTH - NORTH DARTMOUTH, MA
- UNIVERSITY OF MASSACHUSETTS LOWELL - LOWELL, MA

Location & Organization

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Search

VIEW TUTORIAL

CURRENT

institution, state, congressional district, and more!

Data as of 10/15/2018
Frozen FY 2018 data are planned for release by 31 December 2018.
These data will include R&D contracts, fellowships, other grant awards not yet past their budget start date.

Fiscal Year: 2018
Institute/Center: All
Funding Mechanism: All
FOA: Format: RFA-IC-09-003 or PA-09-003

Location: All
Congressional District: All
Organization Type: All
Organization: University of m
Contains Begins with

SUBMIT QUERY **RESET QUERY**

6. Scroll down the page to see query results and select **Institution** from the drop down in the table header.

NIH Awards by Location & Organization

Explore year-by-year NIH funding by institution, state, congressional district, and more!

Data as of 10/15/2018
Frozen FY 2018 data are planned for release by 31 December 2018.
These data will include R&D contracts, fellowships, other grant awards not yet past their budget start date.

Fiscal Year: 2018
Institute/Center: All
Funding Mechanism: All
FOA: Format: RFA-IC-09-003 or PA-09-003

Location: All
Congressional District: All
Organization Type: All
Organization: UNIV OF MA
Contains Begins with

SUBMIT QUERY **RESET QUERY**

By Organization | By IC | By PI | By Location | By Funding Mechanism | Map | Data

Note: Although this list can be sorted by the amount of funding each organization receives, these data should not be interpreted as a ranking of institutions by NIH. NIH's use of multiple principal investigator awards makes calculations of the total funding received by individual departments impractical and there are multiple ways in which the funding data can be aggregated across universities' schools and departments that can alter the ordering of institutions.

NIH reports the dollar amount of administrative supplements but, because they are supplements to existing projects, does not count these supplements as "awards". As a result, every year there are a small number of organizations that receive NIH funding but appear in this table as having "0" (zero) awards.

These data do not include projects funded by the American Recovery and Reinvestment Act of 2009. For a list of those projects, please visit <http://report.nih.gov/recovery>.

For consistency in reporting on past fiscal years, this site uses frozen information for past fiscal years, and this may differ from current information displayed on RePORTER. Upon completion of each fiscal year, research and development contract records are integrated with frozen grant records and posted in December. Institutions may review their grant records and request updates.

Show All Group By System/Main Campus^{RETA}

EXPORT TABLE

Organization	City	State	Country	Awards	Funding
UNIV OF MAR			UNITED STATES	153	\$51,048,915
UNIV OF MA awards summary for Fiscal Year 2018					
Institution Type	Dollar Amount	Awards			
BIOMED ENVIRONMENTAL ENGR/ENGR STA	\$6,274,100	18			
EARTH SCIENCES/RESOURCES	\$17,920,929	58			
SCHOOLS OF ARTS AND SCIENCES	\$13,408,492	39			
SCHOOLS OF EDUCATION	\$1,879,775	3			
SCHOOLS OF PUBLIC HEALTH	\$5,307,439	19			
SCHOOLS OF VETERINARY MEDICINE	\$228,000	1			
UNIVERSITY-WIDE	\$4,711,257	12			
UNAVAILABLE	\$1,228,923	3			
Total	\$51,048,915	153			

Note: If you are close to the R15 funding limit for eligibility and need to determine levels of funding to the activity codes that get excluded from the calculation (C06, S10, and all activity codes starting with a G), use the **Export to Excel** feature, or use the **By Funding Mechanism** tab to drill down to the grant level data.